

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Michael J. O'Phelan et al.	Examiner:	Unknown
Serial No.:	Unknown	Group Art Unit:	Unknown
Filed:	Herewith	Docket:	279.234US4
Title:	FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE		

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered.

Pursuant to 37 C.F.R. §1.98(d), copies of some of the listed documents are not provided as these references were previously cited by or submitted to the U.S. Patent Office in connection with Applicants' prior U.S. application, Serial No. 09/706,447, filed on November 03, 2000, which is relied upon for an earlier filing date under 35 U.S.C. §120.

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Applicant believes that the U.S. Patent & Trademark Office has waived the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication. The waiver is provided in a pre-OG notice from the U.S. Patent & Trademark Office entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003" and dated July 11, 2003. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

MICHAEL J. O'PHELAN ET AL.

By their Representatives,

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Date 1/15/04

By Peter C. Maki  
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Date of Deposit: January 15, 2004

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 CFR 1.10, and is addressed to The Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)	<i>Complete if Known</i>	
	<b>Application Number</b>	Unknown
	<b>Filing Date</b>	Even Date Herewith
	<b>First Named Inventor</b>	O'Phelan, Michael
	<b>Group Art Unit</b>	Unknown
	<b>Examiner Name</b>	Unknown
Sheet 1 of 5		Attorney Docket No: 279.234US4

US PATENT DOCUMENTS						
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	1,931,043	10/17/1933	Taylor, F. W.			03/05/1932
	US-2003/0077509 A1	04/24/2003	Probst, Joseph M., et al.	426	162	10/16/2002
	US-3,182,238	05/04/1965	Toder, E. H., et al.	317	260	02/05/1962
	US-3,643,168	02/15/1972	Manicki, Gert L.	325	459	07/07/1969
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	US-3,993,508	11/23/1976	Erlichman, Irving	29	623.2	06/20/1975
	US-4,047,790	09/13/1977	Carino, J. W.	339	220	01/07/1976
	US-4,086,148	04/25/1978	Badia, M.	204	33	11/19/1976
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	US-4,539,999	09/10/1985	Mans	128	696	07/22/1983
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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Complete if Known

<b>Application Number</b>	Unknown
<b>Filing Date</b>	Even Date Herewith
<b>First Named Inventor</b>	O'Phelan, Michael
<b>Group Art Unit</b>	Unknown
<b>Examiner Name</b>	Unknown

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Attorney Docket No: 279.234US4

	US-4,782,340	11/01/1988	Czubatyj, Wolodymyr , et al.	340	825.83 0	08/22/1986
	US-4,796,638	01/10/1989	Sasaki, M.			
	US-4,833,719	05/23/1989	Carme, Christian E., et al.	381	72	03/06/1987
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	US-5,306,581	04/26/1994	Taylor, William J., et al.	429	181	04/08/1992
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	US-5,369,547	11/29/1994	Evans, D. A.	361	516	03/22/1993
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Complete if Known

<b>Application Number</b>	Unknown
<b>Filing Date</b>	Even Date Herewith
<b>First Named Inventor</b>	O'Phelan, Michael
<b>Group Art Unit</b>	Unknown
<b>Examiner Name</b>	Unknown

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	US-5,660,737	08/26/1997	Elias, W. H., et al.	216	6	05/17/1995
	US-5,691,079	11/25/1997	Daugaard, Jorgen	429	152	07/07/1995
	US-5,716,729	02/10/1998	Sunderland, W. C., et al.	429	66	04/26/1996
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Application Number	Unknown
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First Named Inventor	O'Phelan, Michael
Group Art Unit	Unknown
Examiner Name	Unknown

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Attorney Docket No: 279.234US4

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	US-6,139,986	10/31/2000	Kurokawa, Teruhisa, et al.	429	61	06/16/1998
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Group Art Unit	Unknown
Examiner Name	Unknown

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Attorney Docket No: 279.234US4

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	US-6,493,212	12/10/2002	Clarke, Michael E., et al.	361	521	06/30/2000
	US-6,509,588	01/21/2003	O'Phelan, Michael J., et al.	257	209	01/01/2003
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Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
	WO-00/19470	04/06/2000	O'Phelan, M. , et al.	H01 G	9/055	
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	WO-WO 00/19470	04/06/2000	O'Phelan, Michael J.			

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		MOYNIHAN, J. D., "Theory, Design and Application of Electrolytic Capacitors", Copyright by John D. Moynihan,(1982),136 p.	
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DATE CONSIDERED

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